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12/21/01

JC860 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket		214777
First Inventor	Lawrence H. LAZARUS	
Title	DMT-TIC-DI- AND TRI-PEPTIDIC DERIVATIVES AND RELATED COMPOSITIONS AND METHODS OF USE	
Express Mail Label No.	EL643544757US	

J1000 U.S. PTO  
10/037358

12/21/01

## APPLICATION ELEMENTS

1. ☒ Utility Patent Application Transmittal Form
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification (including claims and abstract) [Total Pages 69]
4. ☒ Drawings [Total Sheets 2]
5. ☐ Combined Declaration and Power of Attorney [Total Pages ]
  - a. ☐ Newly executed
  - b. ☐ Copy from prior application [Note Box 6 below]
    - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference.
7. ☒ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper Copy
  - c. ☐ Statement verifying identity of above copies

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATION PARTS

10. ☐ Applicant requests early publication. (include publication fee under 37 CFR 1.18(d))
11. ☐ Assignment Papers (cover sheet and document(s))
12. ☐ 37 CFR 3.73(b) Statement (when there is an Assignee)
13. ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☐ Information Disclosure Statement (IDS)
  - ☐ Form PTO-1449
  - ☐ Copies of Listed Documents
16. ☐ Preliminary Amendment
17. ☒ Return Receipt Postcard (Should be specifically itemized)
18. ☐ Claim of Priority & Certified Copy of Priority Document(s)
19. ☐ Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent must be submitted with this application to prevent publication at 18 months)
20. ☐ Other:

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below:
- ☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application no. 09/814,558.  
Prior application information: Examiner Lukton, D.; Group Art Unit: 1653


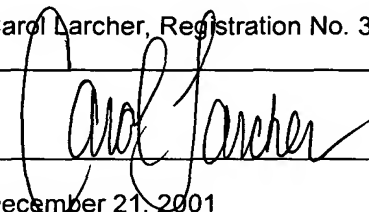
APPLICATION FEES				
BASIC FEE				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$740.00
Total Claims	10	-20=		
Independent Claims	6	- 3=	0	x \$18.00 \$0.00
<input type="checkbox"/> Multiple Dependent Claim if applicable			3	x \$84.00 \$252.00
				+ \$280.00 \$0.00
			Total of above calculations =	
			Reduction by 50% for filing by small entity =	
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$
<input type="checkbox"/> Early publication fee if applicable			+ \$300.00	\$
			TOTAL =	\$992.00

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney's Office No. 214777

22. ☐ Please charge my Deposit Account No. 12-1216 in the amount of \$
23. ☒ A check in the amount of \$992.00 is enclosed.
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR 1.16.
  - b. ☒ Fees required under 37 CFR 1.17.
25. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

## 26. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 23460  <b>23460</b> PATENT TRADEMARK OFFICE		<input type="checkbox"/> , Reg. No. Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
Name	Carol Larcher, Registration No. 35,243	
Signature		
Date	December 21, 2001	

## Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

		December 21, 2001
Name of Person Signing	Signature	Date

Utility Transmittal (Revised 10/18/01)

3. **Fees**

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$( )
<b>Fee Due =</b>				<b>\$</b>

4. **Late Filing of Oath or Declaration**

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

5. **Submitting a Translation of the Specification**

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

6. **Extension of Time**

- ☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.
- ☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$0

7. **Total Fee Due**

The total fee due is:

Application Filing Fee	\$
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00


**Total Fee Due: \$130.00**

8. **Fee Payment**

- ☒ Attached is a check in the sum of \$130.00.  
☐ Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

**9. Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



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Date: April 8, 2002

Missing Parts Response (Revised 2/7/02)